

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Version 5.0

User Selection Criteria

Location:	Puerto Rico, all activities	Activity Location:	None Chosen
Handler ID:	PRD090131251	Group of IDs:	None Chosen
Handler Name:			
Handler Universe:	All Facilities Regardless of Universe		
Determined Date Range:	From: 10/01/1980 To: 09/27/2016		
Location County Code:	None Chosen	Evaluation Type:	
Location City:		Focus Area:	
Location Zip Code:		Violation Type:	
State District:	None Chosen	Display Code Descrip.:	Yes
Sort Order:	Region, State, Handler Name	Display Universes:	Yes

Results

Data meeting the criteria you selected follows.

Total Pages: 10 Total Handlers: 1

Report Description

This report presents available information from the Resource Conservation and Recovery Act Information System (RCRAInfo) about compliance evaluations, violations, and enforcement actions meeting the criteria supplied by the user. Evaluations showing no violations does not always indicate that no violations were determined. Violation without enforcement actions does not always mean no enforcement action will be issued. In order to avoid releasing enforcement sensitive information to the public the following information is not shown on the report: pending civil / judicial referrals, criminal actions and referrals, and State to EPA referrals; all other enforcement actions are released.

Report Information

Name: cme_foia.rdf
Developed by: EPA Headquarters, Office of Enforcement and Compliance Assurance
Deployed: June 2006
Last Updated: May 2012
Contact: rcrainfo.help@epa.gov
Tables Used: cmecomp3, ccitation3, hreport_univ5, lu_citation, lu_state, hid_groups
Libraries: none

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 2

ABBVIE LTD

County Name / Code: BARCELONETA / PR017

PRD090131251

Location: ROAD #2 KM 58.0 CRUCE DAVILA,; BARCELONETA; BARCELONETA, PR 00617

REGION 02

Mailing: PO BOX 278; BARCELONETA, PR 00617

Activity Location: PR	State District:	Accessibility:	Non-Notifier:	Extract Flag: Y	Active Site: Y
Generator: LQG	Transporter: N	Operating TSDF: -----	IC In Place: N	El Indicator (HE / GW): N / N	
Short-Term Gen: N	Transfer Facility: N	Offsite Receiver: N	HSM: N	Subpart K: ----	
Full Enforcement: -----	Converter: -----	State Unaddressed SNC: N	EPA Unaddressed SNC: N		
CA Wrkld: N	State TSDF: -----	State Addressed SNC: N	EPA Addressed SNC: N		
Active State Gen: N		State SNC w/Comp Sched: N	EPA SNC w/Comp Sched: N		

Violation: Activity Location: PR Type: 262.B Determined Date: 11/04/2010 Determined by Agency: State Responsible Agency: State
 Scheduled Compliance Date: Actual Compliance Date: 11/05/2010 RTC Qualifier: DOCUMENTED Sequence Number: 19

Citation Information: Seq #	Type	Citation
1	FEDERAL REGULATION	262.20(a)
2	FEDERAL REGULATION	262.20(b)
3	FEDERAL REGULATION	262.20(c)
4	FEDERAL REGULATION	262.20(d)
5	FEDERAL REGULATION	262.20(e)
6	FEDERAL REGULATION	262.20(e)(1)
7	FEDERAL REGULATION	262.20(e)(1)(i)
8	FEDERAL REGULATION	262.20(e)(1)(ii)
9	FEDERAL REGULATION	262.20(e)(2)
10	FEDERAL REGULATION	262.20(f)

NRR Evaluation 11/04/2010 Activity Location: PR By: State Identifier: 001 Person: ECRO Branch: PRHWCI Found Violation: YES
 Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 11/04/2010 Focus Area:

Enforcement: Activity Location: PR Type: 120 Action Date: 11/04/2010 Identifier: 001
 Docket: Agency: State Responsible Person: ECRO Branch: PRHV
 CA Component: N Disposition Status: Appeal Initiated: Appeal Resolved:

Violation: Activity Location: PR Type: 262.B Determined Date: 12/12/2007 Determined by Agency: State Responsible Agency: State
 Scheduled Compliance Date: Actual Compliance Date: 12/28/2007 RTC Qualifier: DOCUMENTED Sequence Number: 18

Citation Information: Seq #	Type	Citation
1	FEDERAL REGULATION	262.20(a)

NRR Evaluation 12/12/2007 Activity Location: PR By: State Identifier: 001 Person: ESRL Branch: Found Violation: YES
 Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 12/12/2007 Focus Area:

No Linked Enforcements

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 3

ABBVIE LTD, PRD090131251, BARCELONETA, PR, continued -

Violation:	Activity Location: PR	Type: 262.A	Determined Date: 09/12/2001	Determined by Agency: EPA	Responsible Agency: EPA
Scheduled Compliance Date:			Actual Compliance Date: 09/12/2001	RTC Qualifier: OBSERVED	Sequence Number: 2
Former Citation - FR - 40 cfr 265.1056(a)					
CEI Evaluation	09/12/2001	Activity Location: PR	By: EPA	Identifier: 001	Person: R2AHJ
Citizen Complaint: NO	Multimedia Inspection: NO		Sampling: NO	Not Subtitle C: NO	Day Zero:
			Found Violation: YES		
			Focus Area:		
Enforcement:	Activity Location: PR	Type: 110	Action Date: 09/12/2001	Identifier: 001	
Docket:	Agency: EPA		Responsible Person: R2AHJ	Branch: RCB	
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:	
Violation:	Activity Location: PR	Type: 262.A	Determined Date: 07/07/2000	Determined by Agency: State	Responsible Agency: State
Scheduled Compliance Date:			Actual Compliance Date: 09/11/2000	RTC Qualifier: OBSERVED	Sequence Number: 17
Former Citation - SR - rule i-803 e9					
CEI Evaluation	05/24/2000	Activity Location: PR	By: State	Identifier: 000	Person: PRJL
Citizen Complaint: NO	Multimedia Inspection: NO		Sampling: NO	Not Subtitle C: NO	Day Zero:
			Found Violation: YES		
			Focus Area:		
No Linked Enforcements					
Violation:	Activity Location: PR	Type: 262.B	Determined Date: 01/29/1998	Determined by Agency: State	Responsible Agency: State
Scheduled Compliance Date: 02/28/1998			Actual Compliance Date: 02/23/1998	RTC Qualifier: OBSERVED	Sequence Number: 16
FCI Evaluation	01/29/1998	Activity Location: PR	By: State	Identifier: 000	Person: PRBAP
Citizen Complaint: NO	Multimedia Inspection: NO		Sampling: NO	Not Subtitle C: NO	Day Zero:
			Found Violation: YES		
			Focus Area: V3		
Enforcement:	Activity Location: PR	Type: 120	Action Date: 01/29/1998	Identifier: 000	
Docket:	Agency: State		Responsible Person: PR	Branch:	
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:	
Violation:	Activity Location: PR	Type: 262.B	Determined Date: 10/22/1997	Determined by Agency: State	Responsible Agency: State
Scheduled Compliance Date: 11/21/1997			Actual Compliance Date: 02/10/1998	RTC Qualifier: OBSERVED	Sequence Number: 15
FCI Evaluation	10/22/1997	Activity Location: PR	By: State	Identifier: 000	Person: PRZTV
Citizen Complaint: NO	Multimedia Inspection: NO		Sampling: NO	Not Subtitle C: NO	Day Zero:
			Found Violation: YES		
			Focus Area: V3		
Enforcement:	Activity Location: PR	Type: 120	Action Date: 10/22/1997	Identifier: 000	
Docket:	Agency: State		Responsible Person: PRZTV	Branch: S	
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:	

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 4

ABBVIE LTD, PRD090131251, BARCELONETA, PR, continued -

Violation:	Activity Location: PR	Type: 262.A	Determined Date: 06/17/1997	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 11/12/1997	RTC Qualifier: OBSERVED	Sequence Number: 14
	Former Citation - SR - rule813d(1)(a) rchnshnw				
CSE Evaluation	04/30/1997	Activity Location: PR	By: State	Identifier: 000	Person: PRCMR
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:

No Linked Enforcements

Violation:	Activity Location: PR	Type: 262.A	Determined Date: 10/11/1991	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 04/30/1992		Actual Compliance Date: 12/10/1992	RTC Qualifier: OBSERVED	Sequence Number: 1
	Citation Information: Seq #	Type	Citation		
	1	FEDERAL REGULATION	262.11		
CEI Evaluation	03/20/1990	Activity Location: PR	By: EPA	Identifier: 000	Person: R2JCS
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:

Enforcement:	Activity Location: PR	Type: 310	Action Date: 12/10/1992	Identifier: 000
Docket:		Agency: EPA	Responsible Person: R2JCS	Branch: RCB
Penalty Information:	Proposed:	Final Monetary: \$180,000	Collected:	Total Final: \$180,000
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: PR	Type: 210	Action Date: 03/20/1992	Identifier: 000
Docket:		Agency: EPA	Responsible Person: R2JCS	Branch: RCB
Penalty Information:	Proposed: \$2,207,244	Final Monetary: \$180,000	Collected:	Total Final: \$180,000
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:

Violation:	Activity Location: PR	Type: 264.A	Determined Date: 09/28/1990	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date: 04/08/1991		Actual Compliance Date: 05/01/1989	RTC Qualifier: UNVERIFIABLE	Sequence Number: 11
CEI Evaluation	02/29/1988	Activity Location: PR	By: State	Identifier: 008	Person: PREQB
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:
Enforcement:	Activity Location: PR	Type: 210	Action Date: 03/15/1991	Identifier: 000	
Docket:		Agency: State	Responsible Person:	Branch:	
Penalty Information:	Proposed: \$8,000	Final Monetary:	Collected:	Total Final:	
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:	
Enforcement:	Activity Location: PR	Type: 120	Action Date: 12/19/1990	Identifier: 006	
Docket:		Agency: State	Responsible Person: PREQB	Branch:	
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:	

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 5

ABBVIE LTD, PRD090131251, BARCELONETA, PR, continued -

Violation:	Activity Location: PR	Type: 268.A	Determined Date: 10/14/1987	Determined by Agency: EPA	Responsible Agency: EPA			
Scheduled Compliance Date: 12/18/1988		Actual Compliance Date: 01/23/1989		RTC Qualifier: OBSERVED	Sequence Number: 5005			
CEI Evaluation	10/14/1987	Activity Location: PR	By: EPA Contractor	Identifier: 009	Person: R2JCS	Branch:	Found Violation: YES	
Citizen Complaint: NO		Multimedia Inspection: NO		Sampling: NO		Not Subtitle C: NO	Day Zero:	Focus Area:
Enforcement:	Activity Location: PR		Type: 120	Action Date: 11/18/1988		Identifier: 005		
Docket:			Agency: EPA	Responsible Person: R2JCS		Branch:		
CA Component: N		Disposition Status:		Appeal Initiated:		Appeal Resolved:		

Violation:	Activity Location: PR	Type: 268.A	Determined Date: 10/14/1987	Determined by Agency: EPA	Responsible Agency: EPA			
Scheduled Compliance Date: 12/18/1988		Actual Compliance Date: 01/23/1989		RTC Qualifier: OBSERVED	Sequence Number: 5006			
CEI Evaluation	10/14/1987	Activity Location: PR	By: EPA Contractor	Identifier: 009	Person: R2JCS	Branch:	Found Violation: YES	
Citizen Complaint: NO		Multimedia Inspection: NO		Sampling: NO		Not Subtitle C: NO	Day Zero:	Focus Area:
Enforcement:	Activity Location: PR		Type: 120	Action Date: 11/18/1988		Identifier: 005		
Docket:			Agency: EPA	Responsible Person: R2JCS		Branch:		
CA Component: N		Disposition Status:		Appeal Initiated:		Appeal Resolved:		

Violation:	Activity Location: PR	Type: 264.A	Determined Date: 08/26/1986	Determined by Agency: State	Responsible Agency: State			
Scheduled Compliance Date: 03/03/1987		Actual Compliance Date: 06/25/1987		RTC Qualifier: OBSERVED	Sequence Number: 4			
CEI Evaluation	08/26/1986	Activity Location: PR	By: State	Identifier: 006	Person: PREQB	Branch:	Found Violation: YES	
Citizen Complaint: NO		Multimedia Inspection: NO		Sampling: NO		Not Subtitle C: NO	Day Zero:	Focus Area:
Enforcement:	Activity Location: PR		Type: 120	Action Date: 01/30/1987		Identifier: 002		
Docket:			Agency: State	Responsible Person: PREQB		Branch:		
CA Component: N		Disposition Status:		Appeal Initiated:		Appeal Resolved:		

Violation:	Activity Location: PR	Type: 264.A	Determined Date: 03/20/1986	Determined by Agency: State	Responsible Agency: State			
Scheduled Compliance Date: 04/24/1986		Actual Compliance Date: 04/24/1986		RTC Qualifier: OBSERVED	Sequence Number: 3			
NRR Evaluation	03/20/1986	Activity Location: PR	By: State	Identifier: 005	Person: PREQB	Branch:	Found Violation: YES	
Citizen Complaint: NO		Multimedia Inspection: NO		Sampling: NO		Not Subtitle C: NO	Day Zero:	Focus Area:
Enforcement:	Activity Location: PR		Type: 120	Action Date: 04/14/1986		Identifier: 003		
Docket:			Agency: State	Responsible Person: PREQB		Branch:		
CA Component: N		Disposition Status:		Appeal Initiated:		Appeal Resolved:		

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 6

ABBVIE LTD, PRD090131251, BARCELONETA, PR, continued -

Violation:	Activity Location: PR	Type: 264.A	Determined Date: 07/17/1985	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date: 03/03/1987		Actual Compliance Date: 06/25/1987	RTC Qualifier: OBSERVED	Sequence Number: 2
CEI Evaluation	07/17/1985	Activity Location: PR	By: State	Identifier: 004	Person:
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
				Branch:	Found Violation: YES
					Focus Area:
Enforcement:	Activity Location: PR	Type: 120	Action Date: 01/30/1987	Identifier: 002	
Docket:		Agency: State	Responsible Person: PREQB	Branch:	
CA Component: N		Disposition Status:	Appeal Initiated:		Appeal Resolved:

Violation:	Activity Location: PR	Type: 264.A	Determined Date: 06/12/1984	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date: 07/22/1984		Actual Compliance Date: 08/20/1984	RTC Qualifier: OBSERVED	Sequence Number: 1
CEI Evaluation	06/12/1984	Activity Location: PR	By: State	Identifier: 002	Person:
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
				Branch:	Found Violation: YES
					Focus Area:
Enforcement:	Activity Location: PR	Type: 120	Action Date: 06/20/1984	Identifier: 001	
Docket:		Agency: State	Responsible Person: PREQB	Branch:	
CA Component: N		Disposition Status:	Appeal Initiated:		Appeal Resolved:

Evaluations With No Violations:

CEI Evaluation	04/28/2015	Activity Location: PR	By: EPA	Identifier: 001	Person: R2JAS	Branch: CEPD	Found Violation: U
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 04/28/2015		Focus Area:
CEI Evaluation	09/10/2008	Activity Location: PR	By: EPA	Identifier: 001	Person: R2JDW	Branch: RCB	Found Violation: U
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 09/10/2008		Focus Area:
CEI Evaluation	09/03/2008	Activity Location: PR	By: State	Identifier: 001	Person: ESRL	Branch: PRHWCI	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 09/03/2008		Focus Area:
CEI Evaluation	09/05/2007	Activity Location: PR	By: EPA	Identifier: 001	Person: R2ERG	Branch: CEPD	Found Violation: U
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 09/05/2007		Focus Area:
CEI Evaluation	03/12/2007	Activity Location: PR	By: State	Identifier: 001	Person: EMFD	Branch: PRHWCI	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 03/12/2007		Focus Area:
CEI Evaluation	06/27/2006	Activity Location: PR	By: State	Identifier: 001	Person: ECRO	Branch: PRHWCI	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 06/27/2006		Focus Area:
CEI Evaluation	06/29/2004	Activity Location: PR	By: State	Identifier: 001	Person: EMFD	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	06/11/2003	Activity Location: PR	By: State	Identifier: 001	Person: EMFD	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 7

ABBVIE LTD, PRD090131251, BARCELONETA, PR, continued -

CEI Evaluation 08/24/1999 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: R2ERG Day Zero:	Branch: RCB	Found Violation: NO Focus Area:
CEI Evaluation 04/21/1999 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: PRMVC Day Zero:	Branch:	Found Violation: NO Focus Area:
CEI Evaluation 05/07/1998 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: PRMFD Day Zero:	Branch:	Found Violation: NO Focus Area:
CEI Evaluation 11/23/1994 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: PRMFD Day Zero:	Branch:	Found Violation: NO Focus Area:
CEI Evaluation 08/11/1994 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: PRSMS Day Zero:	Branch:	Found Violation: NO Focus Area:
SNN Evaluation 12/10/1992 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: CV3 Not Subtitle C: NO	Person: Day Zero:	Branch:	Found Violation: NO Focus Area:
CEI Evaluation 08/31/1992 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: PRMRR Day Zero:	Branch:	Found Violation: NO Focus Area:
SNY Evaluation 10/11/1991 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: CNV Not Subtitle C: NO	Person: Day Zero:	Branch:	Found Violation: N/A Focus Area:
CEI Evaluation 08/23/1991 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: I Not Subtitle C: NO	Person: PREQB Day Zero:	Branch:	Found Violation: NO Focus Area:
CEI Evaluation 05/01/1989 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: PR Day Zero:	Branch:	Found Violation: NO Focus Area:
FCI Evaluation 02/17/1987 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 007 Not Subtitle C: NO	Person: PREQB Day Zero:	Branch:	Found Violation: NO Focus Area: V3
NRR Evaluation 09/14/1984 Citizen Complaint: NO	Activity Location: PR Multimedia Inspection: NO	By: EPA Oversight Sampling: NO	Identifier: 003 Not Subtitle C: NO	Person: Day Zero:	Branch:	Found Violation: NO Focus Area:

Total Number of Handlers: 1

Total Number of Activity Locations: 1

* End of Report *

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 8

Description of codes used on the report:

Universes	Description of Universes
Generator	Indicates that the facility is a Large Quantity Generator (LQG), Small Quantity Generator (SQG), Conditionally Exempt Small Quantity Generator (CEG), or not a generator (N).
Transporter	Indicates that the facility Transports waste subject to RCRA regulations. ('Y' indicates that the facility is in this universe).
Operating TSDF	Indicates that the facility is a Treatment, Storage or Disposal facility subject to any type of enforcement. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
IC in Place	Indicates that the facility has Institutional Controls in place. ('Y' indicates that the facility is in this universe).
EI Indicator (HE / GW)	Indicates that the facility has controls in place for Environmental Indicators. HE - Human Exposures ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist) GW - Groundwater Release ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist)
Short-Term Gen	Indicates that the facility is a short term or one time event generator and not generating from ongoing processes.
Transfer Facility	Indicates that the facility transfers hazardous waste.
Offsite Receiver	Indicates that the facility, whether public or private, currently accepts hazardous waste from another site (site identified by a different EPA ID).
HSM	Indicates that the facility manages hazardous secondary material(s) (e.g. spent material, by-product or sludge) that when discarded, would be identified as hazardous waste.
Subpart K	Indicates that the facility has opted into the subpart K laboratory rule. It then specifies the type of facility (C - College or University; H - Teaching Hospital; N - Non-profit Research Institute; W - withdrawal from the rule)
Full Enforcement	Indicates that the facility is a Treatment, Storage or Disposal facility which is part of the Full Enforcement universe. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
CA Workload	Indicates that the facility is part of the Corrective Action Workload universe. ('Y' indicates that the facility is in this universe).
Active State Gen	Indicates that the facility is an Active State Generator. ('Y' indicates that the facility is in this universe).
Converter	Indicates that the facility is a Converter Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State TSDF	Indicates that the facility is a State Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State Unaddressed SNC	Indicates that the facility is a State Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State Addressed SNC	Indicates that the facility is a State Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State SNC w/ Compl. Sched	Indicates that the facility is a State Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).
EPA Unaddressed SNC	Indicates that the facility is an EPA Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA Addressed SNC	Indicates that the facility is an EPA Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA SNC w/ Compl. Sched	Indicates that the facility is a EPA Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 9

Description of codes used on the report:

ACCESSIBILITY - indicates the reason why the handler is not accessible for normal RCRA tracking and processing (previously called Bankrupt Indicator):	
Code	Description
B	indicates that the handler has filed for bankruptcy and bankruptcy litigation is in process.
C	indicates that all RCRA responsibilities for permitting/closure, corrective action, and compliance monitoring and enforcement at the facility have been formally transferred to the CERCLA program or state equivalent.
F	indicates that all responsible parties (owners/operators) for the handler have fled the country or are otherwise not available for prosecution.
L	indicates that the handler's case is tied up in litigation to the extent that further progress in achieving RCRA compliance through normal enforcement is not possible.

NON-NOTIFIER - indicates that the handler has been identified through a source other than Notification and is suspected of conducting RCRA-regulated activities without proper authority:	
Code	Description
E	indicates that the handler was initially a non-notifier, subsequently determined to be exempt from requirements to notify.
O	indicates that the handler is a former non-notifier.
X	indicates that the handler is a non-notifier.

Violation Type	Description
262.A	GENERATORS - GENERAL
262.B	GENERATORS - MANIFEST
264.A	TSD - GENERAL
268.A	LDR - GENERAL

Evaluation Type	Type Description
CEI	COMPLIANCE EVALUATION INSPECTION ON-SITE
CSE	COMPLIANCE SCHEDULE EVALUATION
FCI	FOCUSED COMPLIANCE INSPECTION
NRR	NON-FINANCIAL RECORD REVIEW
SNN	NOT A SIGNIFICANT NON-COMPLIER
SNY	SIGNIFICANT NON-COMPLIER

* Note: Penalty amount may not reflect all violations cited.

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: September 27, 2016 - 2:19 PM

Page 10

Description of codes used on the report:

Focus Area	Description
V3	CONVERTED FROM V2 RCRAINFO

Enforcement Type	Enforcement Description
110	VERBAL INFORMAL
120	WRITTEN INFORMAL
210	INITIAL 3008(A) COMPLIANCE
310	FINAL 3008(A) COMPLIANCE ORDER

* Note: Penalty amount may not reflect all violations cited.